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WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/862,973

05/22/2001

John H. Westerbeke JR.

00637-025001

CONFIRMATION NO. 9803

FORMALITIES LETTER

OC000000006318426*

JAMES W. BABINEAU Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Date Mailed: 07/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

65.00 DP 01 FC:205

A copy of this notice MUST be returned with the reply.





Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

H

Attorne Docket No.: 00637-025001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John H. Westerbeke Art Unit: 3747
Serial No.: 09/862,973 Examiner: Unknown

Filed : May 22, 2001

Title : CONTROLLING EXHAUST TEMPERATURES

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 19, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
 and
- ☑ Other: Transmittal of Formal Drawings.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Amy V. Armitage

Typed or Printed Name of Person Signing Certificate

Applicant : John H. Westerbeke Serial No. : 09/862,973

: May 22, 2001 Filed

Page

Respectfully submitted,

Docket No.: 00637-025001

Date: September 12,2001

Reg. No. 42,276

Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John H. Westerbeke

Art Unit: 3747

Serial No.: 09/862,973

Examiner: Unknown

Filed : May 22, 2001 Batch No.: Unknown

Title

: CONTROLLING EXHAUST TEMPERATURES

Attention: Official Draftsman

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Parts mailed July 19, 2001, please substitute the enclosed six (6) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

W. Babineau Reg. No. 42,276

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Date of Deposit

Signature

Amy V. Armitage

Typed or Printed Name of Person Signing Certificate

PATENT 00637-025001 ATTORNEY DOCKET NO.:
The Patent and Trademark Office date stamp sets forth the date of receipt of:
Applicant or Patentee <u>John H. Westerbeke</u> No. (Application, Appeal, Interference Patent, Reexam) <u>09/862,9/3</u> Filing or Issue Date <u>May 22, 2001</u>
Title: CONTROLLING EXHAUST TEMPERATURES
[] Transmittal Letter (2 Copies) [] With Pet. for Ext.
[] Assignment [] Startus Inquiry [XX] ASSIGNMENT Response 2 Pages [] Declaration
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[xx] Other Transmittal of Formal Drawings
& TRADEMAN
Initials Matter Name Westerbeke/Jacketed Date 09/13/01
Manitold (IP